



PATENT

ATTORNEY DOCKET NO. ALBA.01U

TECH CENTER 1600/2900

APR 04 2002

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Alex Battaglia, M.D. *et al* ) Examiner:  
Serial No.: 10/053,313 ) Art Unit: 1619  
Filed: January 18, 2002 ) INFORMATION DISCLOSURE  
For: Gum Resin as a Carrier for Topical Application ) STATEMENT  
of Pharmacologically Active Agents )

**BOX DD**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.


Please find enclosed references A1-A2, and B1. Reference D1 will be sent under separate cover.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

CERTIFICATE OF FIRST-CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202

on \_\_\_\_\_  
(Date)

  
(Signature)

Rainie Sorlat  
(Printed Name)

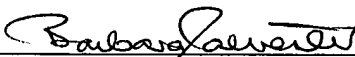
PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: March 22, 2002

  
\_\_\_\_\_  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
PO Box 1898  
Monterey, CA 93942-1898  
Phone: (831) 648-3090  
Facsimile: (831) 242-0137

BRV/cs

PATENT



ATTORNEY DOCKET NO. ALB 001.01 US

RECEIVED  
APR 04 2002  
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Alex Battaglia, M.D. *et al* ) Examiner:  
Serial No.: 10/053,313 ) Art Unit: 1619  
Filed: January 18, 2002 ) INFORMATION DISCLOSURE  
For: Gum Resin as a Carrier for Topical Application ) STATEMENT TRANSMITTAL  
of Pharmacologically Active Agents )

**BOX DD**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:


- ☒ A Information Disclosure Statement.
- ☒ A PTO-1449.
- ☒ Copies of references A1-A2, B1 as listed on the PTO-1449.
- ☐ An International Search Report.
- ☒ Return postcard (postage prepaid).

CERTIFICATE OF FIRST-CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202

on \_\_\_\_\_

(Date)

  
(Signature)

Rainie Sorbt  
(Printed Name)

1. ☒ This Information Disclosure Statement is filed before the later of:
  - ☒ three months from the filing date of this national application;
  - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
  - ☐ the mailing date of a first office action on the merits.☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
  
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
  - (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
  - ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
  - ☐ a certification as stated below.
  
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
  - (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
  - (1) A certification, as stated below;
  - (2) A Petition requesting consideration of the Information Disclosure

Statement; and

- (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

**TOTAL FEES: \$**

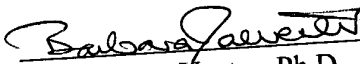
- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ \_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

PATENT

[X] A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: March 22, 2002

  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
PO Box 1898  
Monterey, CA 93942-1898  
Phone: (831) 648-3090  
Facsimile: (831) 242-0137

BRV/cs